

APPLICANT FACSIMILE OF FORM PTO-1449

U.S. DEPARTMENT OF  
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PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO

SERIAL NO.

ATA-286

09/627,566

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APPLICANT

Goodwin, Jonathan L. et al.

FILING DATE

July 28, 2000

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## U.S. PATENT DOCUMENTS

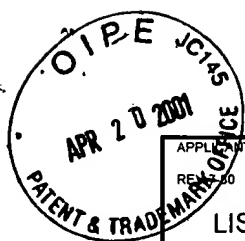
| EXAMINE<br>R<br>INITIAL |     | DOCUMENT NUMBER | DATE  | NAME              | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|-------------------------|-----|-----------------|-------|-------------------|-------|----------|-------------------------------|
| VB                      | A1  | 3,868,956 ✓     | 03/75 | Alfidi et al. ✓   | 128   | 345      | 1                             |
|                         | A2  | 4,560,374 ✓     | 12/85 | Hammerslag ✓      | 604   | 49       | 2                             |
|                         | A3  | 4,562,596 ✓     | 01/86 | Kornberg ✓        | 623   | 1        | 3                             |
|                         | A4  | 4,580,568 ✓     | 04/86 | Gianturco ✓       | 128   | 345      | 4                             |
|                         | A5  | 4,588,461 ✓     | 05/86 | Braun ✓           | 156   | 143      | 5                             |
|                         | A6  | 4,647,416 ✓     | 03/87 | Seiler et al. ✓   | 264   | 118      | 6                             |
|                         | A7  | 4,655,771 ✓     | 04/87 | Wallsten ✓        | 623   | 1        | 7                             |
|                         | A8  | 4,733,665 ✓     | 03/88 | Palmaz ✓          | 128   | 343      | 8                             |
|                         | A9  | 4,739,762 ✓     | 10/88 | Palmaz ✓          | 128   | 343      | 9                             |
|                         | A10 | 4,740,207 ✓     | 04/88 | Kreamer ✓         | 623   | 1        |                               |
|                         | A11 | 4,776,337 ✓     | 10/88 | Palmaz ✓          | 128   | 343      |                               |
|                         | A12 | 4,787,899 ✓     | 11/88 | Lazarus ✓         | 623   | 1        |                               |
|                         | A13 | 4,820,298 ✓     | 04/89 | Leveen et al. ✓   | 623   | 1        |                               |
|                         | A14 | 4,856,516 ✓     | 08/89 | Hillstead ✓       | 128   | 343      |                               |
|                         | A15 | 4,872,874 ✓     | 10/89 | Taheri ✓          | 623   | 1        |                               |
|                         | A16 | 4,877,030 ✓     | 10/89 | Beck et al. ✓     | 128   | 343      |                               |
|                         | A17 | 4,886,062 ✓     | 12/89 | Wiktor ✓          | 128   | 343      |                               |
|                         | A18 | 4,907,336 ✓     | 03/90 | Gianturco ✓       | 29    | 515      |                               |
|                         | A19 | 4,950,227 ✓     | 08/90 | Savin et al. ✓    | 604   | 8        |                               |
| VB                      | A20 | 5,078,726 ✓     | 01/92 | Kreamer ✓         | 606   | 194      |                               |
| VB                      | A21 | 5,078,735 ✓     | 01/92 | Mobin-Uddin ✓     | 623   | 1        |                               |
| VB                      | A22 | 5,100,429 ✓     | 03/92 | Sinofsky et al. ✓ | 606   | 195      |                               |

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|    |     | DOCUMENT NUMBER | DATE  | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |
|----|-----|-----------------|-------|---------|-------|----------|-----------------------|
| VB | A23 | WO 97/25938     | 07/97 | PCT     |       |          |                       |
| VB | A24 | EP 821921       | 04/98 | EPO     |       |          |                       |
| VB | A25 | WO 00/18328     | 04/00 | PCT     |       |          |                       |

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|-------------------------|-----|-----------------|-------|--------------------|-------|----------|-------------------------------|
| VB                      | B1  | ✓ 5,104,399 ✓   | 04/92 | Lazarus ✓          | 623   | 1        | 23                            |
|                         | B2  | ✓ 5,122,154 ✓   | 06/92 | Rhodes ✓           | 606   | 198      |                               |
|                         | B3  | ✓ 5,123,917 ✓   | 06/92 | Lee ✓              | 623   | 1        |                               |
|                         | B4  | ✓ 5,133,732 ✓   | 07/92 | Wiktor ✓           | 606   | 195      |                               |
|                         | B5  | ✓ 5,135,536 ✓   | 08/92 | Hillstead ✓        | 606   | 195      |                               |
|                         | B6  | ✓ 5,151,105 ✓   | 09/92 | Kwan-Gett ✓        | 623   | 1        |                               |
|                         | B7  | ✓ 5,156,620 ✓   | 10/92 | Pigott ✓           | 623   | 1        |                               |
|                         | B8  | ✓ 5,213,561 ✓   | 05/93 | Weinstein et al. ✓ | 600   | 7        |                               |
|                         | B9  | ✓ 5,219,355 ✓   | 06/93 | Parodi et al. ✓    | 606   | 191      |                               |
|                         | B10 | ✓ 5,256,150 ✓   | 10/93 | Quiachon et al. ✓  | 604   | 171      |                               |
|                         | B11 | ✓ 5,288,711 ✓   | 02/94 | Mitchell et al. ✓  | 514   | 56       |                               |
|                         | B12 | ✓ 5,290,295 ✓   | 03/94 | Querals et al. ✓   | 606   | 108      |                               |
|                         | B13 | ✓ 5,292,331 ✓   | 03/94 | Boneau ✓           | 606   | 198      |                               |
|                         | B14 | ✓ 5,304,220 ✓   | 04/94 | Maginot ✓          | 623   | 1        |                               |
|                         | B15 | ✓ 5,306,286 ✓   | 04/94 | Stack et al. ✓     | 606   | 198      |                               |
|                         | B16 | ✓ 5,306,294 ✓   | 04/94 | Winston et al. ✓   | 623   | 1        |                               |
|                         | B17 | ✓ 5,314,444 ✓   | 05/94 | Gianturco ✓        | 606   | 195      |                               |
|                         | B18 | ✓ 5,314,472 ✓   | 05/94 | Fontaine ✓         | 623   | 12       |                               |
|                         | B19 | ✓ 5,316,023 ✓   | 05/94 | Palmaz et al. ✓    | 128   | 898      |                               |
|                         | B20 | ✓ 5,330,500 ✓   | 07/94 | Song ✓             | 606   | 198      |                               |
|                         | B21 | ✓ 5,334,201 ✓   | 08/94 | Cowan ✓            | 623   | 1        |                               |
|                         | B22 | ✓ 5,342,300 ✓   | 08/94 | Stefandis et al. ✓ | 604   | 95       |                               |
| VB                      | B23 | ✓ 5,366,473 ✓   | 11/94 | Winston et al. ✓   | 606   | 198      | 45                            |

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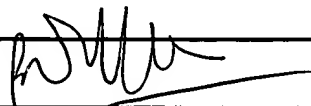
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|---------------------|-----|-----------------|-------|---------------------|-------|----------|-------------------------------|
| UB                  | C1  | 5,368,556 ✓     | 11/94 | Lecuyer —           | 604   | 8        | 46                            |
|                     | C2  | 5,370,683 ✓     | 12/94 | Fontaine —          | 623   | 1        |                               |
|                     | C3  | 5,370,685 ✓     | 12/94 | Stevens —           | 623   | 2        |                               |
|                     | C4  | 5,382,230 ✓     | 01/95 | Bonn —              | 604   | 32       |                               |
|                     | C5  | 5,382,261 ✓     | 01/95 | Palmarz ✓ 14        | 606   | 158      |                               |
|                     | C6  | 5,387,235 ✓     | 02/95 | Chutèr —            | 623   | 1        |                               |
|                     | C7  | 5,389,106 ✓     | 02/95 | Tower —             | 606   | 198      |                               |
|                     | C8  | 5,391,172 ✓     | 02/95 | Williams et al. ✓   | 606   | 108      |                               |
|                     | C9  | 5,397,355 ✓     | 03/95 | Marin et al. ✓      | 623   | 12       |                               |
|                     | C10 | 5,403,341 ✓     | 04/95 | Solar —             | 606   | 198      |                               |
|                     | C11 | 5,405,377 ✓     | 04/95 | Cragg —             | 623   | 1        |                               |
|                     | C12 | 5,411,549 ✓     | 05/95 | Peters —            | 623   | 1        |                               |
|                     | C13 | 5,415,664 ✓     | 05/95 | Pinchuk —           | 606   | 108      |                               |
|                     | C14 | 5,443,458 ✓     | 08/95 | Eury —              | 604   | 891.1    |                               |
|                     | C15 | 5,443,496 ✓     | 08/95 | Schwartz et al. ✓   | 623   | 1        |                               |
|                     | C16 | 5,453,090 ✓     | 09/95 | Martinez et al. ✓   | 604   | 53       |                               |
|                     | C17 | 5,458,615 ✓     | 10/95 | Klemm et al. ✓      | 606   | 198      |                               |
|                     | C18 | 5,522,881 ✓     | 06/96 | Lentz — 1           | 623   | 1        |                               |
|                     | C19 | 5,522,882 ✓     | 06/96 | Gaterud et al. ✓    | 623   | 1        |                               |
|                     | C20 | 5,549,663 ✓     | 08/96 | Cottone, Jr. ✓      | 623   | 1        |                               |
|                     | C21 | 5,574,875 ✓     | 11/96 | Stansfield et al. ✓ | 395   | 403      |                               |
|                     | C22 | 5,591,226 ✓     | 01/97 | Trerotola et al. ✓  | 623   | 1        |                               |
|                     | C23 | 5,639,274 ✓     | 06/97 | Fischell et al. ✓ 1 | 604   | 96       |                               |
| UB                  | C24 | 5,662,700 ✓     | 09/97 | Lazarus 3           | 623   | 1        | 69                            |

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|---------------------|-----|-----------------|-------|--------------------|-------|----------|-------------------------------|
| <i>1B</i>           | D1  | 5,665,114 ✓     | 09/97 | Waedock et al. ✓   | 623   | 1        | 70                            |
|                     | D2  | 5,667,523 ✓     | 09/97 | Bynon et al. ✓     | 606   | 198      |                               |
|                     | D3  | 5,697,971 ✓     | 12/97 | Fischell et al. ✓  | 623   | 1        |                               |
|                     | D4  | 5,700,285 ✓     | 12/97 | Myers et al. ✓     | 623   | 1        |                               |
|                     | D5  | 5,702,419 ✓     | 12/97 | Berry et al. ✓     | 606   | 198      |                               |
|                     | D6  | 5,718,973 ✓     | 02/98 | Lewis et al. ✓     | 428   | 36.5     |                               |
|                     | D7  | 5,735,892 ✓     | 04/98 | Myers et al. ✓     | 623   | 1        |                               |
|                     | D8  | 5,747,728 ✓     | 05/98 | Fleurial et al. ✓  | 136   | 203      |                               |
|                     | D9  | 5,749,880 ✓     | 05/98 | Banas et al. ✓     | 606   | 198      |                               |
|                     | D10 | 5,755,775 ✓     | 05/98 | Trerotola et al. ✓ | 623   | 1        |                               |
|                     | D11 | 5,788,626 ✓     | 08/98 | Thompson ✓         | 600   | 36       |                               |
|                     | D12 | 5,800,512 ✓     | 09/98 | Lentz et al. ✓     | 623   | 12       |                               |
|                     | D13 | 5,800,522 ✓     | 09/98 | Campbell et al. ✓  | 623   | 1        |                               |
|                     | D14 | 5,810,870 ✓     | 09/98 | Myers et al. ✓     | 606   | 198      |                               |
|                     | D15 | 5,827,322 ✓     | 10/98 | Williams ✓         | 606   | 198      |                               |
|                     | D16 | 5,836,316 ✓     | 11/98 | Plaia et al. ✓     | 128   | 898      |                               |
|                     | D17 | 5,843,166 ✓     | 12/98 | Lentz et al. ✓     | 623   | 1        |                               |
|                     | D18 | 5,843,171 ✓     | 12/98 | Campbell ✓         | 623   | 1        |                               |
|                     | D19 | 5,843,173 ✓     | 12/98 | Shannon et al. ✓   | 623   | 1        |                               |
|                     | D20 | 5,851,228 ✓     | 12/98 | Pinheiro ✓         | 623   | 1        |                               |
|                     | D21 | 5,851,232 ✓     | 12/98 | Lois ✓             | 623   | 1        |                               |
|                     | D22 | 5,891,193 ✓     | 04/99 | Robinson et al. ✓  | 623   | 1        |                               |
|                     | D23 | 5,916,264 ✓     | 06/99 | Von Oepen et al. ✓ | 623   | 1        |                               |
| <i>1B</i>           | D24 | 5,925,075 ✓     | 07/99 | Myers et al. ✓     | 623   | 1        | 93                            |

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| 16                  | E1  | ✓ 5,928,279 ✓   | 07/99 | Shannon et al. 2  | 623   | 1        | 94                            |
|                     | E2  | ✓ 5,944,726 ✓   | 08/99 | Blaeser et al. ✓  | 606   | 108      |                               |
|                     | E3  | ✓ 5,972,441 ✓   | 10/99 | Campbell et al. 3 | 428   | 34.1     |                               |
|                     | E4  | ✓ 5,976,650 ✓   | 11/99 | Campbell et al. 4 | 428   | 35.7     |                               |
|                     | E5  | ✓ 5,980,565 ✓   | 11/99 | Jayaraman ✓       | 623   | 1        |                               |
|                     | E6  | ✓ 5,993,489 ✓   | 11/99 | Lewis et al. 2    | 628   | 1        |                               |
|                     | E7  | ✓ 6,001,123 ✓   | 12/99 | Lau 1             | 623   | 1        |                               |
|                     | E8  | ✓ 6,001,125 ✓   | 12/99 | Golds et al. ✓    | 623   | 1        |                               |
|                     | E9  | ✓ 6,004,348 ✓   | 12/99 | Banas et al. ✓    | 623   | 1        |                               |
|                     | E10 | ✓ 6,010,529 ✓   | 01/00 | Herweck et al. ✓  | 623   | 1        |                               |
|                     | E11 | ✓ 6,010,530 ✓   | 01/00 | Goicoechea ✓      | 623   | 1        |                               |
|                     | E12 | ✓ 6,015,430 ✓   | 01/00 | Wall ✓            | 623   | 1        |                               |
|                     | E13 | ✓ 6,017,362 ✓   | 01/00 | Lau 2             | 623   | 1        |                               |
|                     | E14 | ✓ 6,025,044 ✓   | 02/00 | Campbell et al. 3 | 428   | 36.91    |                               |
|                     | E15 | ✓ 6,027,811 ✓   | 02/00 | Campbell et al. 6 | 428   | 411.1    |                               |
|                     | E16 | ✓ 6,039,755 ✓   | 03/00 | Edwin et al. ✓    | 623   | 1        |                               |
|                     | E17 | ✓ 6,086,610 ✓   | 07/00 | Duerig et al. ✓   | 623   | 1        |                               |
|                     | E18 | ✓ 6,087,552 ✓   | 07/00 | Gregory ✓         | 623   | 11       |                               |
| 16                  | E19 | ✓ 6,124,523 ✓   | 09/00 | Banas et al. ✓    | 623   | 11       | 112                           |

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